The rules revising the Markets in Financial Instruments Directive (2014/65/EU) (MiFID) and the Markets in Financial Instruments Regulation (600/2014), commonly referred to as MiFID II, came into effect from 3 January 2018. MiFID II aims to reinforce and add to the previous structural, transparency and investor protection rules.

Important Information

Client Classification

Under MiFID II, we are required to inform you that CG Asset Management Limited ("CGAM") is an investment firm operating in the UK. Our registered address is 20 King Street, London, EC2V 8EG. CGAM is to be treated as a professional client in dealings with other financial firms.

We are authorised and regulated by the Financial Conduct Authority ("FCA"). The FCA's address is: 12 Endeavour Square, London, E20 1JN, United Kingdom.

Research

CGAM does not have any fee, commission or non-monetary benefit arrangements with market counterparties beyond that which apply to execution costs.

CGAM consumes little external research. We conduct our own internal research on the investments we make. We consider research to be the core activity of an asset manager. All external research costs are borne by CGAM. We do not have a separate research payment account or similar arrangement.

Fees

All the funds managed by CGAM have a charging structure that applies to all investors in our funds, equally.

The Firm

CGAM is the delegated portfolio manager for an Irish Unitised Collective Investments in Transferable Securities ("UCITS") and is also the Manager of a UK Alternative Investment Fund ("AIF"). CGAM cannot hold client money and does not offer financial advice.

CGAM's regulatory reference number is [195763](#) and further information about our regulatory permissions can be found on the [Financial Services Register](#).

Communications

All communications from CGAM will be provided in English.

European MiFID II Template

If you would like to receive a copy of our European MiFID II Template ("EMT") or PRIIPS Template ("EPT") please email info@cgasset.com or otherwise speak with one of our team.

Financial Services Compensation Scheme

Investors who are clients of authorised financial firms that are regulated, either by the FCA or the Prudential Regulation Authority ("PRA"), may be protected under the Financial Services Compensation Scheme ("FSCS") up to limits as set out by the FSCS, in the event that the authorised financial firm fails.

If your financial advisor goes out of business, or the firm that provided your investment product collapses, FSCS may be able pay compensation but the protection varies depending on the type of product. CGAM encourages investors to use an FSCS tool called the Investment Protection Checker to see if your investment is protected.

The UCITS funds for which CGAM acts as portfolio manager are FCA Recognised Funds. This term applies to offshore funds that are approved for marketing to the retail public within the UK but importantly may not fall under the remit of the FSCS. Complaints (about the UCITS funds) and compensation arrangements lie within the jurisdiction where the funds are domiciled, in this case Ireland.

Financial Scams

Scammers may try to get you to hand over important personal information, they may even say they work for us.

You may get an email, letter or phone call from someone claiming to be from CGAM. They may use the name of an employee, our logo, or other images from our website, to make you think the communication is genuine.

Please note that CGAM will very rarely enter into correspondence with fund investors that is unsolicited. Genuine emails from CGAM will end with the domain name "@cgasset.com". If in doubt about some communication you have received please ring us on 020 3906 1633.

Complaints

If you wish to complain about the conduct of CGAM, or about the funds under our management please refer to our complaints procedure.

Contact Us

Should you have any further questions, please email ir@cgasset.com

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